



DIVISION OF
ENFORCEMENT

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
WASHINGTON, D.C. 20549

July 8, 2025

Via ECF

The Honorable John P. Cronan
United States District Judge
U.S. District Court for the Southern District of New York
500 Pearl Street
New York, NY 10007-1312

Re: *SEC v. Cole*, No. 1:19-CV-11148-JPC
Joint Status Letter

Dear Judge Cronan:

Pursuant to this Court's October 19, 2020, Order (*see* ECF No. 26), the parties respectfully submit this status letter to update the Court regarding the proceedings in *United States v. Neil Cole*, 19-CR-00869-ER.

Since the last status letter was filed on April 9, 2025 (*see* ECF No. 62), there have been no new developments in *United States v. Neil Cole*, 19-CR-00869-ER. Mr. Cole remains free on bail pending his appeal.

The next status letter in this matter will be filed on or before September 8, 2025.

Respectfully submitted,

/s/ Melissa Armstrong
Melissa Armstrong
U.S. Securities and Exchange Commission
(202) 551-4724
armstrongme@sec.gov
Counsel for Plaintiff

/s/ Sean Hecker
Sean Hecker, Esq.
Jenna Dabbs, Esq.
Kaplan Hecker & Fink LLP
(212) 763-0889
shecker@kaplanhecker.com
jdabbs@kaplanhecker.com
Counsel for Neil R. Cole